

Sample solutions for the examination of  
 Finite automata and formal languages  
 (DIT322/TMV028)  
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Note that in some cases I have not explained “step by step” why a certain algorithm produces a certain result, even though students who took the exam were asked to do this.

1. (a) Yes, the right-hand side of every production contains either a single terminal or exactly two nonterminals.
- (b) The CYK table:

$$\begin{array}{ccc}
 \{ S \} & & \\
 \{ S \} & \{ B \} & \\
 \{ A \} & \{ B \} & \{ B \} \\
 \hline
 a & b & b
 \end{array}$$

- (c) There is no such parse tree. Here is the CYK table for the string  $aba$ :

$$\begin{array}{ccc}
 \emptyset & & \\
 \{ S \} & \emptyset & \\
 \{ A \} & \{ B \} & \{ A \} \\
 \hline
 a & b & a
 \end{array}$$

If there were a parse tree for  $aba$  in  $P(G, S)$ , then the topmost cell in the table would have contained the nonterminal  $S$ . However, this cell is empty.

2. The following context-free grammar defines the given language:

$$G = (\{ S \}, \{ 0, 1 \}, (S \rightarrow 0S1 \mid \varepsilon), S)$$

We can convert this grammar to a pushdown automaton  $A$  such that  $N(A) = L(G)$ . If we follow the technique presented in the course, then we

get the following automaton:

$$A = (\{q\}, \{0, 1\}, \{S, 0, 1\}, \delta, q, S, \{q\})$$

$$\begin{aligned} \delta &\in \{q\} \times \{\varepsilon, 0, 1\} \times \{S, 0, 1\} \rightarrow \wp(\{q\} \times \{S, 0, 1\}^*) \\ \delta(q, \varepsilon, S) &= \{(q, 0S1), (q, \varepsilon)\} \\ \delta(q, 0, 0) &= \{(q, \varepsilon)\} \\ \delta(q, 1, 1) &= \{(q, \varepsilon)\} \\ \delta(q, \_, \_) &= \emptyset \end{aligned}$$

3. All three regular expressions denote the same language as  $1^*$ :

- $L(e_1) = L(1^*)$ :

$$\begin{aligned} (1^*)^* &= \{(e^*)^* = e^*\} \\ 1^* & \end{aligned}$$

- $L(e_2) = L(1^*)$ :

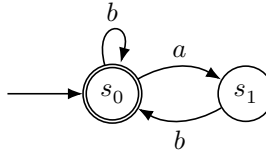
$$\begin{aligned} (1^*)^+ &= \{\text{By definition}\} \\ 1^*(1^*)^* &= \{(e^*)^* = e^*\} \\ 1^*1^* &= \{e^*e^* = e^*\} \\ 1^* & \end{aligned}$$

- $L(e_3) = L(1^*)$ :

$$\begin{aligned} (1^+)^* &= \{\text{By definition}\} \\ (11^*)^* &= \{e^* = \varepsilon + ee^*\} \\ \varepsilon + 11^*(11^*)^* &= \{\text{Shifting}\} \\ \varepsilon + 1(1^*1)^*1^* &= \{\text{Denesting}\} \\ \varepsilon + 1(1+1)^* &= \{\text{Idempotence}\} \\ \varepsilon + 11^* &= \{e^* = \varepsilon + ee^*\} \\ 1^* & \end{aligned}$$

4. (a) First note that, for any regular expressions  $e_1$  and  $e_2$ ,  $L((e_1^* + e_2)^*) = L((e_1 + e_2)^*)$ .  $L(e_1^*) \supseteq L(e_1)$ , so  $L((e_1^* + e_2)^*) \supseteq L((e_1 + e_2)^*)$ . To see that  $L((e_1^* + e_2)^*) \subseteq L((e_1 + e_2)^*)$ , take a string  $w \in L((e_1^* + e_2)^*)$ . There must be a natural number  $n$  and strings  $w_1, \dots, w_n \in L(e_1^* + e_2)$  such that  $w = w_1 \dots w_n$ . For each string  $w_i$  ( $i \in \{1, \dots, n\}$ ) it must either be the case that  $w_i \in L(e_2)$ , or  $w_i \in L(e_1^*)$ . In the latter case there must be some natural number  $k_i$  and strings  $w_{i,1}, \dots, w_{i,k_i} \in L(e_1)$  such that  $w_i = w_{i,1} \dots w_{i,k_i}$ . Thus  $w$  can be expressed as a finite sequence of strings in  $L(e_1)$  and  $L(e_2)$ , which means that  $w \in L((e_1 + e_2)^*)$ .

Let us now convert the regular expression  $e' = (ab + b)^*$  to an  $\varepsilon$ -NFA  $A$ . Instead of using the algorithm from the course text book I give  $A$  directly and prove that  $L(A) = L(e')$  (by the argument above  $L(e') = L(e)$ ). Here is  $A$  (its alphabet is  $\{a, b\}$ ):



This  $\varepsilon$ -NFA corresponds to the following system of equations between languages, where  $e_0$  corresponds to the start state  $s_0$ :

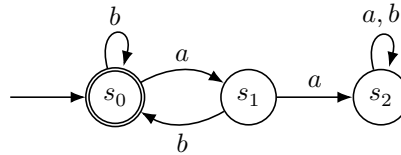
$$\begin{aligned} e_0 &= \varepsilon + ae_1 + be_0 \\ e_1 &= be_0 \end{aligned}$$

Let us find a solution for  $e_0$ . We can start by eliminating  $e_1$ :

$$e_0 = \varepsilon + (ab + b)e_0$$

Using Arden's lemma we then get the following unique solution:  $e_0 = (ab + b)^*$ . Because  $e_0 = e'$  we have  $L(A) = L(e') = L(e)$ .

- (b) The  $\varepsilon$ -NFA  $A$  does not make any use of non-determinism or  $\varepsilon$ -transitions, so it can be converted directly to a DFA  $B$ :



The DFA  $B$  is minimal: all states are accessible, the state  $s_0$  is distinguishable from the other two (because it is the only accepting one), and  $s_1$  is distinguishable from  $s_2$  (they are distinguished by the  $b$ -transition, because  $s_0$  and  $s_2$  are distinguishable).

- (c) The language  $L(e) = L((ab + b)^*)$  consists of exactly those strings of zero or more  $a$ 's and  $b$ 's for which every  $a$  is immediately followed by a  $b$ .
5. (a) The language  $L$  is defined mutually with the language  $L'$  in the following way:

$$\frac{u \in L \quad v \in L'}{uav \in L} \qquad \frac{}{b \in L} \qquad \frac{v \in L}{va \in L'}$$

See parts (b) and (c) for a proof showing that  $L = L(G)$ .

- (b) The property  $L \subseteq L(G)$  follows from  $\forall w \in L. w \in L(G, S)$ , which is proved mutually with  $\forall w \in L'. w \in L(G, A)$  by induction on the structure of  $L$  and  $L'$ . We have three cases to consider:

- $\overline{b \in L}$  : In this case we should prove that  $b \in L(G, S)$ . We can construct the following derivation:

$$\frac{\frac{S \rightarrow b \in P}{\quad} \quad \frac{\varepsilon \in L_L(G, \varepsilon)}{b \in L_L(G, b)}}{b \in L(G, S)}$$

(Antecedents of the form “ $a$  is a terminal” or “ $A$  is a nonterminal” are omitted from this and subsequent derivations.)

$$\frac{u \in L \quad v \in L'}{\quad}$$

- $uav \in L$  : In this case we should prove that  $uav \in L(G, S)$ , given the inductive hypotheses that  $u \in L(G, S)$  and  $v \in L(G, A)$ . We can construct the following derivation:

$$\frac{\frac{S \rightarrow SaA \in P}{\quad} \quad \frac{\frac{u \in L(G, S)}{\quad} \quad \frac{\frac{v \in L(G, A) \quad \varepsilon \in L_L(G, \varepsilon)}{v \in L_L(G, A)}}{av \in L_L(G, aA)}}{uav \in L_L(G, SaA)}}{uav \in L(G, S)}$$

$$\frac{v \in L}{\quad}$$

- $va \in L'$  : In this case we should prove that  $va \in L(G, A)$ , given the inductive hypothesis that  $v \in L(G, S)$ . We can construct the following derivation:

$$\frac{\frac{A \rightarrow Sa \in P}{\quad} \quad \frac{\frac{v \in L(G, S) \quad \frac{\varepsilon \in L_L(G, \varepsilon)}{a \in L_L(G, a)}}{va \in L_L(G, Sa)}}{va \in L(G, A)}}$$

- (c) The property  $L(G) \subseteq L$  follows from  $\forall w \in L(G, S). w \in L$ , which is proved mutually with  $\forall w \in L(G, A). w \in L'$  by complete induction on the lengths of the strings. A derivation of  $w \in L(G, S)$  must end in the following way:

$$\frac{S \rightarrow \alpha \in P \quad w \in L_L(G, \alpha)}{w \in L(G, S)}$$

There are two possibilities for  $\alpha$ :

- $\alpha = b$ : In this case we have the following derivation, and  $w$  is equal to  $b$ :

$$\frac{\frac{S \rightarrow b \in P}{\quad} \quad \frac{\varepsilon \in L_L(G, \varepsilon)}{b \in L_L(G, b)}}{b \in L(G, S)}$$

We can easily construct a derivation showing that  $w = b \in L$ :

$$\overline{b \in L}$$

- $\alpha = SaA$ : In this case the derivation must end in the following way, and  $w$  must be equal to  $uav$  for some  $u \in L(G, S)$  and  $v \in L(G, A)$ :

$$\frac{\frac{S \rightarrow SaA \in P}{uav \in L(G, S)} \quad \frac{\frac{u \in L(G, S)}{uav \in L_L(G, SaA)} \quad \frac{\frac{v \in L(G, A) \quad \overline{\varepsilon \in L_L(G, \varepsilon)}}{v \in L_L(G, A)}}{av \in L_L(G, aA)}}{uav \in L(G, S)}}$$

Note that  $|u| < |w|$  and  $|v| < |w|$ . The inductive hypotheses thus imply that  $u \in L$  and  $v \in L'$ . We can now construct a derivation showing that  $w = uav \in L$ :

$$\frac{u \in L \quad v \in L'}{uav \in L}$$

A derivation of  $w \in L(G, A)$  must end in the following way:

$$\frac{A \rightarrow \alpha \in P \quad w \in L_L(G, \alpha)}{w \in L(G, A)}$$

Here  $\alpha$  must be equal to  $Sa$ , the derivation must end in the following way, and  $w$  must be equal to  $ua$  for some  $u \in L(G, S)$ :

$$\frac{A \rightarrow Sa \in P \quad \frac{u \in L(G, S) \quad \frac{\varepsilon \in L_L(G, \varepsilon)}{a \in L_L(G, a)}}{ua \in L_L(G, Sa)}}{ua \in L(G, A)}$$

Note that  $|u| < |w|$ . One of the inductive hypotheses thus implies that  $u \in L$ . We can now construct a derivation showing that  $w = ua \in L'$ :

$$\frac{u \in L}{ua \in L'}$$

6.  $M$  is not context-free (and thus also not regular). If  $M$  were context-free, then, by the results taken from "Quotients of Context-Free Languages" by Ginsburg and Spanier,  $M' = \{ wcw \mid w \in \{ a, b \}^* \}$  would also be context-free. Now consider the following function:

$$\begin{aligned} h &\in \{ a, b, c \} \rightarrow \{ a, b \}^* \\ h(a) &= a \\ h(b) &= b \\ h(c) &= \varepsilon \end{aligned}$$

The set of context-free languages is closed under string homomorphisms, so if  $M'$  were context-free, then  $h(M') = \{ ww \mid w \in \{a, b\}^* \}$  would also be context-free. However, this language is not context-free.

$N$  is regular (and thus also context-free). In fact,  $N = \{a, b, c\}^*$  (which is regular). First note that  $N \subseteq \{a, b, c\}^*$ , because no string in  $N$  contains any symbol other than  $a$ ,  $b$  or  $c$ . Let us now prove that  $\{a, b, c\}^* \subseteq N$ . Take a string  $w \in \{a, b, c\}^*$ . We should prove that  $w \in N$ . We can do this by induction on the number of occurrences of  $c$  in  $w$ . We have two cases:

- 0: In this case  $w \in \{a, b\}^*$ , so  $w \in N$  by the second rule defining  $N$ .
- $1 + n$ : In this case  $w = ucw$ , where  $u \in \{a, b\}^*$ ,  $v \in \{a, b, c\}^*$ , and the number of occurrences of  $c$  in  $v$  is  $n$ . By the second rule we get that  $u \in N$ , and by the inductive hypothesis we get that  $v \in N$ . Thus, by the third rule,  $w = ucw \in N$ .